

GARG SECURITIES PVT. LTD.

REGD. OFF. : 1, GULATI COMPLEX, (IN FRONT OF MILKHIRAM MARKET)

SAKCHI, P.O. JAMSHEDPUR - 831 001, JHARKHAND

PHONE : (0657) 242-3987/7850 ❖ FAX : (0657) 242-8342

INVESTORS' COMPLAINTS REDRESSAL E.mail : garg.broker@yahoo.com



CDSL
Your Depository



CDSL ACCOUNT OPENING FORM INDIVIDUAL

CLIENT ID _____

CLIENT NAME _____

❖ Depository Participants : Central Depository Services (India) Ltd. ❖
❖ DP ID : 12061600 ❖ SEBI Regn. No. IN-DP-CDSL-519-2009 ❖

Instruction for Applicants

- Fill details only in English after reading instruction given on the account opening form.
- If there is any correction in the form kindly counter sign the same.
- If the Clearing member is a Sole Proprietor or an Individual, then the Corporate Account Opening Form should be filled in.
- Signatures can be in English, Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb Impressions and signatures other than in the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- In case of additional signatures, separate annexure should be attached to the application form.
- In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, Name of the POA, must be lodged with the DP alongwith the application.
- All correspondence/queries shall be addressed to the First/Sole Applicant only.
- Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- Strike off whichever is not applicable.
- The following documents are to be submitted by the Investors:
 - * Date of Birth Certificate in case of Minors, notarised
 - * Proof of NRI Status.
 - * Copy of RBI approval for NRIs.
 - * One passport size photograph of each Account Holder.
- Please sign all the pages of agreement.
- The sub-status : Individuals Director or Individual Director's Relative, will apply only to specific companies in which the BO is a Director/Director Relative. In such case BO's are advised to maintain separate accounts.
- Extra charges will be levied for specific account statement requirement other than monthly & quarterly.
 - * All account holders will be provided monthly account statement in case they enter into any transaction. In all other cases a quarterly statement will be provided (When there is no transaction at all).

The account Opening form should be filled as follows :

- Indicate anyone status and sub-status of the first holder.
- Indicate full name (first/middle/last) as indicated on the shares certificate.
- E-mail address is compulsory.
- Indicate occupation as per list or specify your occupation.
- If sole/first holder is minor indicate guardian details.
- In case of Joint Holders indicate other holder details.
- Indicate waiver from confirmation for credits (always tick YES).
- Indicate frequency of account statement requirement.
- Indicate bank details under "Electronic Credit" and "Beneficiary Details"
- For MICR indicate 9 digit code number of the bank appearing on cheque leaf.
- Indicate Foreign address and other details of Sole/First holder is a NRI / Foreign National.
- Sign in the Signature Column.
- Sign across the photograph.
- Indicate introducer details with his beneficiary Owner-ID (BO-ID) if Applicable.

Nomination Form

- Indicate nominee details only in case of Single holders.
- Details of two witnesses is required in case of nomination.



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Regd. Office : 1, Gulati Complex (In Front of Milkhiram Market)
Sakchi, P.O. Jamshedpur - 831 001, Jharkhand
Phone : (0657) 242-3987/7850 ❖ E.mail : garg.broker@yahoo.com

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CDSL
Your Depository

DOCUMENTS ENCLOSED WITH THE APPLICATION FORM AS TICKED (✓) BELOW :
(ATTESTED PHOTOCOPY OF ANY OF THE FOLLOWING)

Proof of Identity : (For all Holders) :

- Passport
- Voter Identity Card
- Driving License
- PAN Card

Identity Card / Documents with applicant's photo issued by :

- Central / State Government and its Departments
- Statutory/Regulatory Authorities
- Public Sector Undertakings
- Scheduled Commercial Banks
- Public Financial Institutions
- Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members.

Proof of Address : (For all Holders) :

- Ration Card
- Voter Identity Card
- Bank Passbook
(Not more than three months old)
- Verified copies of Electricity Bills
(Not more than two months old)
- Residence Telephone Bills
(Not more than two month old)
- Leave and License Agreement/Agreement for Sales
- Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.

Identity Card/Documents with Address issued by :

- Central / State Government and its Departments
- Statutory/Regulatory Authorities
- Public Sector Undertakings
- Scheduled Commercial Banks
- Public Financial Institutions
- Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members.

Non-Resident Indian : NRI will have to provide proof of Indian & Foreign Addresses.

I hereby declare that all the photocopies of documents verified with the original.

Verified by : _____ Receipt Date : _____

FOR OFFICE USE ONLY

1. Document Verified by : _____

2. Details entered in CDAS Yes No Date _____

3. Intimation Sent to Investor Yes No Date _____

Checked by _____

Application Form for Opening a Demat Account

Individual NRI Foreign National



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Sakchi, P.O. Jamshedpur - 831 001, Jharkhand

DP ID : 12061600 ❖ SEBI REGN. NO. : IN-DP-CDSL-519-2009



(To be filled by the Depository Participant)

Application No.											Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																			
DP ID	1	2	0	6	1	6	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a Demat Account in my / our name as per the following details : -

Sole / First Holders Details

First Name																		
Middle Name																		
Last Name																		
Father / Husband Name																		
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other										Suffix							
Correspondence Address																		
City											State							
Country											PIN							
Telephone No.											Fax No.							
PAN											Mobile No.							
E-mail ID (in block letters)																		
Permanent Address																		
(if different from Correspondence Address)																		
City											State							
Country											PIN							
Telephone No.											Fax No.							
E-mail ID																		

Joint Holders - Second Holder's Details

First Name																		
Middle Name																		
Last Name																		
Father / Husband Name																		
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other										Suffix							
Permanent Address																		
City											State							
Country											PIN							
Telephone No.											Fax No.							
PAN											Mobile No.							
E-mail ID (in block letters)																		

**Joint Holders - Third Holder's Details**

First Name					
Middle Name					
Last Name					
Father / Husband Name					
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other			Suffix	
Permanent Address					
City			State		
Country			PIN		
Telephone No.			Fax No.		
PAN			Mobile No.		
E-mail ID (in block letters)					

Type of Account (Please tick whichever is applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Others (Specify)_____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non - Repatriable <input type="checkbox"/> NRI Non - Repatriable Promoter <input type="checkbox"/> Others (Specify)_____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (Specify)_____	

I / We instruct the DP to receive each and every credit in my / our account	(Automatic Credit) <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Bank Details (Dividend Bank Details)

Bank Code (9 digit MICR code)									
Bank Name									
Branch									
Bank Address									
City			State						
Country			PIN						
Account number									
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Others (Specify)_____								



- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Passport size Photograph	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)

	Name	Signatures
First/Sole Holder		
Second Joint Holder		
Third Joint Holder		

(Signatures should be preferably in black ink)

(In case of minor holder, photograph of guardian has to be affixed along with minor's photograph.)

Additional Details

SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. +91 _____ Refer to Terms & Conditions given as Annexure-A	<input type="checkbox"/> No
easi	<input type="checkbox"/> Yes. If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

Details For First Holder

Date of Birth	D D M M Y Y Y Y	Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female		
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____		
Nature of business (Products / services provided)			
Financial Details	Income Range per annum: <input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs.1,00,001 to Rs.2,00,000 <input type="checkbox"/> Rs.2,00,001 to Rs.5,00,000 <input type="checkbox"/> More than Rs.5,00,000		

**Details For Joint Second Holder**

Date of Birth	D	D	M	M	Y	Y	Y	Y	Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (specify)
Sex	<input type="checkbox"/> Male		<input type="checkbox"/> Female								
Occupation	<input type="checkbox"/> Service		{ <input type="checkbox"/> Central Govt.		<input type="checkbox"/> State Govt.		<input type="checkbox"/> Public / Private Sector				
			<input type="checkbox"/> NGO		<input type="checkbox"/> Statutory Body}						
	<input type="checkbox"/> Professional		<input type="checkbox"/> Business		<input type="checkbox"/> Student						
	<input type="checkbox"/> Retired		<input type="checkbox"/> Housewife		<input type="checkbox"/> Others (Specify)						
Nature of business (Products / services provided)											

Details For Joint Third Holder

Date of Birth	D	D	M	M	Y	Y	Y	Y	Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (specify)
Sex	<input type="checkbox"/> Male		<input type="checkbox"/> Female								
Occupation	<input type="checkbox"/> Service		{ <input type="checkbox"/> Central Govt.		<input type="checkbox"/> State Govt.		<input type="checkbox"/> Public / Private Sector				
			<input type="checkbox"/> NGO		<input type="checkbox"/> Statutory Body}						
	<input type="checkbox"/> Professional		<input type="checkbox"/> Business		<input type="checkbox"/> Student						
	<input type="checkbox"/> Retired		<input type="checkbox"/> Housewife		<input type="checkbox"/> Others (Specify)						
Nature of business (Products / services provided)											

Details of Guardian (If First Holder or Second Holder or Third Holder is a minor)

First Name												
Middle Name												
Last / SearchName												
Relationship with the applicant												
Correspondence Address												
City								State				
Country								PIN				
Telephone No.								Fax No.				
PAN								Mobile No.				
E-mail ID (in block letters)												

For NRIs

Foreign Address												
City								State				
Country								PIN				

DP ID	1	2	0	6	1	6	0	0	Client ID								
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	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signatures			

ANNEXURE - A

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise :

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity :

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction :

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID

--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent : +91

--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

Email ID : _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures

Sole / First Holder	Second holder	Third Holder
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Place : _____

Date : _____

Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at _____ this _____ day of _____, between **GARG SECURITIES PVT. LTD.**, having its Registered Office at 1, Gulati Complex (In Front of Milkhiram Market), Sakchi, P.O. Jamshedpur - 831 001, Jharkhand, hereinafter called "the Participant" of the One Part; AND _____, (description of the legal entity) having his/ its office/ registered office/address at _____ hereinafter called "the Beneficial Owner" of the Other Part.

x

x

x

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow:

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS :

General Clause

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

Fees, Charges and deposits

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

Statement of Account

- 3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.
- 3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.

- 3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

Beneficial Owner shall intimate change of particulars

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

Depository not liable for claims against Beneficial Owner

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/ or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Authorised Representative

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Closure of Account

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

Stamp Duty

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

Force Majeure

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, nonperformance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

Service of Notice

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

Arbitration

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

Jurisdiction

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Jamshedpur.

Governing Law

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/ seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED BY

Within named the **PARTICIPANT**

GARG SECURITIES PVT. LTD.

By the hand of its authorized representative
Shri _____

For GARG SECURITIES PVT. LTD.

Within named the **BENEFICIAL OWNER**

Signature_____

Signature_____

DIRECTOR/AUTHORIZED SIGNATORY

Signature_____

In the presence of Witness for DP

In the presence of Witness for BO

Signature_____

Signature_____

Name_____

Name_____

SCHEDULE 1

Forming part of the Agreement between The Participant and The Person Seeking to Open A Beneficial Owner's Account

1. General Clause

- 1.1 The Participant shall continue to be bound by the Bye Laws and operating Instructions, even after ceasing to be a participant in so far as may be necessary for completion of or compliance with her/his/its obligations in respect of all matters, entries or transactions which the Participant may have carried out, executed, entered into, undertaken or may have been required to do, before ceasing to be the Participant and which may have remained outstanding, incomplete or pending at the time of her/his/its ceasing to be participant.

2. Fees, charges and deposits

- 2.1 The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf.
- 2.2 The Beneficial Owner further agrees that in the event of the Beneficial Owner committing a default in the payment of any of the amount payable to the Participant by way of fees, charges or otherwise on their respective due dates or within fifteen days of the same being demanded (where no such due date is Specified) then, without prejudice to the right of the Participant to terminate the Agreement and close the account of the Beneficial Owner, the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid at the rate of 2% per month or part thereof.

3. Unique Identification Number

- 3.1 CDSL shall allocate a unique identification number to the Beneficial Owner (Beneficial Owner ID).

4. Responsibilities of the Beneficial Owner

- 4.1 The Beneficial Owner shall be responsible for:
- 4.1.1 The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents and the Agreement.
- 4.1.2 The authenticity and genuineness of all certificates and/or documents submitted to the Participant along with or in support of the account opening form or subsequently for dematerialisation;
- 4.1.3 Title to the securities submitted to the Participant from time to time for dematerialisation;
- 4.1.4 Ensuring at all times that the securities to the credit of her/his/its account are sufficient to meet the instructions issued to the Participant for effecting any transaction;
- 4.1.5 Informing the Participant at the earliest of any changes in the account particulars of the Beneficial Owner such as address, bank details, status, authorisations, mandates, nomination, signature, etc;
- 4.1.6 Furnishing accurate identification details whilst subscribing to any issue of securities.

5. Participant to open separate account for each Beneficial Owner

- 5.1 The Participant shall maintain a separate account for each beneficial owner and ensure that the securities of the Beneficial Owner are not mixed with the securities of any other beneficial owner or those of the Participant.

6. Execution of Beneficial Owner's Instructions

- 6.1 Subject to the Participants right of lien and subject to any order, injunctions, directions or instructions issued by any court, tribunal, SEBI, Central or State Government or any other authority empowered in that behalf or by CDS, the Participant shall carry out the instructions issued by the Beneficial Owner confirming debits or credits to her/his/its account pursuant to any transaction to her/his/its securities with utmost dispatch on the same day on which the instructions are issued and failing that before the close of working hours of the immediately succeeding working day provided however, that subject to the above, the Beneficial Owner may issue standing instructions to the Participant for crediting his account in accordance with the instructions.
- 6.2 Where multiple instructions are received from the Beneficial Owner and the Beneficial Owner specifies the sequence of execution of instructions the instructions shall be carried by the participant in the same manner and sequence as shall have been specified by the Beneficial Owner to the extent it can be executed with the clear balance available in the Beneficial Owner's account with the Participant.
- 6.3 Where multiple instructions are received from the Beneficial Owner but the Beneficial Owner has not specified the sequence of execution of instructions, the participant shall execute the instructions in the same chronological order in which such instructions were received from the beneficial Owner to the extent it can be executed with the clear balance available in the Beneficial Owner's account with the Participant.
- 6.4 The Beneficial Owner may at any time instruct the Participant to dematerialise any eligible securities held in physical form. The Participant after electronically registering such request with CDS shall forward the Dematerialisation Request Form (DRF) along with the relevant security certificates to the Issue or its RTA within two working days of the receipt of the same from the Beneficial Owner and till such time shall ensure the safe custody of the securities in physical form.
- 6.5 The Beneficial Owner may at any time make a request to the Participant for rematerialisation of the balances in her/his/its account (or any part thereof), which request shall be made in the Rematerialisation Request Form (RRF) Before accepting the RRF, the Participant shall ensure that sufficient unencumbered balance in respect of the relevant securities is available in the Beneficial Owner's account and shall electronically intimate the request to CDS. The Participant shall within two working days of accepting such RRF from the Beneficial Owner forward the same to the issuer of its RTA.

7. Pledge/Hypothecation

7.1 The Beneficial Owner may pledge or hypothecate the securities held in her/his/its account with the Participant strictly in accordance with the provisions and restrictions laid down in the Regulations, Bye Laws, Operating Instructions. Save and except creation of a pledge or hypothecation in the manner aforesaid, the Beneficial Owner shall not in any manner charge, mortgage or otherwise encumber the securities held in her/his/its account with the Participant.

8. Freezing and Defreezing of Beneficial Owner's Account

8.1 The Beneficial Owner may at any time exercise her/his/its right to freeze her/his/its account with the Participant so as to freeze all operations in respect of such securities held in her/his/its account with the Participant for such period as the Beneficial Owner may specify. The Participant shall indemnify and keep indemnified the Beneficial Owners from any harm, loss, damage or injury that the Beneficial Owner may suffer or incur by reason of the Participant's Failure to freeze the Beneficial Owner's account within such time from the receipt of valid instructions in that behalf and in such manner as may be specified by the Bye Laws and Operating Instructions.

8.2 The Beneficial Owner may at any time exercise her/his/its right to defreeze and reactivate her/his/its account maintained with the Participant in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and/or Operating Instructions. The Participant shall indemnify and keep indemnified the Beneficial Owner from any harm, loss damage or injury that the Beneficial Owner may suffer or incur by reason of the Participant's failure to defreeze the Beneficial Owner's account within such time from the receipt of valid instructions in that behalf and in such manner as may be specified by the Bye Laws and Operating Instructions.

8.3 The account of the Beneficial Owner shall be frozen by the Participant, on the Participant being served with any direction, order or decree of a court, tribunal, Central or State Government, SEBI or any statutory or revenue authority or on receipt of written instructions from CDS calling upon the Participant so to do and upon such account being frozen, the Participant shall forthwith intimate the Beneficial Owner of the same.

9. Participant's Lien

9.1 The Participant shall not exercise any lien without the prior approval of CDS, on any securities belonging to the Beneficial Owners held in dematerialised form in any account with the Participant, as security for recovery of dues owed by Beneficial Owner to the Participant in his capacity as such including any compensation for any loss caused to the Participant and/or CDS by reason of fraud, negligence or mistake on the part of the beneficial owner. The Participant shall, upon receipt of such approval from CDS, give notice in writing to the Beneficial Owner of the exercise of such lien by the Participant in respect of such of the securities held in the Beneficial Owner's account as CDS may specify.

10. Beneficial Owner to intimate change of Particulars

10.1 The Beneficial Owner shall notify the Participant of any change in the particulars set out in the application form submitted to the Participant at the time of opening the account or furnished to the participant from time to time at the earliest. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/her failure to intimate such change to the Participant at the earliest.

11. Participant not liable for claims against Beneficial Owner

11.1 The Participant shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, States Statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

12. Authorised Representative

12.1 Where the Beneficial Owner is a body corporate, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorised by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

13. Confidentiality

13.1 The Participant shall be at liberty to provide or disclose such details, particulars, data or information relating to the Beneficial Owner and/or his account as may be required or directed in writing by any court, tribunal, Central or State Government, SEBI or any other regulatory or revenue authority empowered by law in that behalf or by CDS or the concerned issuer and/or RTA or Clearing Corporation through CDS or as may be required for compliance with any obligations in law or for enforcement of any of its rights or for protection of its interest without reference or recourse to the Beneficial Owner provided however that save and except as aforesaid, the Participant shall not divulge or permit or suffer to be disclosed any such details, particulars, date or information to any party or person and shall hold such details, particulars, data and information in strict confidence.

14. Change in address

14.1 The Beneficial owner shall inform the Participant of any proposed change in address of its office/registered office last thirty days before the date of such change.

15. Closure of Account

15.1 The Beneficial Owner may at any time terminate the Agreement by calling upon the Participant to close her/his/its account with the Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by the Beneficial Owner to the Participant. In such event the Beneficial Owner shall make an application to the Participant in the format specified by CDS in that behalf. The Beneficial Owner may close her/his/its account by executing the account closing form if no balances are standing to her/his/its credit in the account. In case any balances of securities exist in the account sought to be closed, the account may be closed in one of the following ways.

15.1.1 by rematerialisation of all existing balances in her/his/its account;

15.1.2 by transfer of all existing balances in her/his/its account to one or more of her/his/its other account/s held with any other participants.

15.1.3 by rematerialisation of a part of the existing balances in her/his/its account and by transferring the rest to one or more of her/his/its other accounts with any other participant.

- 15.2 The Participant shall initiate the procedure for transfer of balances or for rematerialisation of securities in the Beneficial Owner's account, as the case may be, within a period of two working days from the date of receipt of instructions from the Beneficial Owner in that behalf.
- 15.3 The Beneficial Owner's account shall not be closed if there exist any securities in the Beneficial Owner's account which are pledged or hypothecated unless such closure is consented to by the Pledgee or the hypothecatee, as the case may be or the account is unreconciled or if the closure of such account would result in breach or violation of any decree or order or injunction of any court, tribunal or statutory or revenue authority.
- 15.4 In the event of the Participant ceasing to be a participant, the Beneficial Owner may either seek rematerialisation of her/his/its securities or request for the transfer of the securities in its account to an account opened with another participant.
- 16. Circumstances under which the participant is entitled to terminate the agreement.**
- 16.1 In the event of the Beneficial Owner:
- 16.1.1 Failing to pay the fees or charges as may be mutually agreed upon within a period of thirty days from the date of demand made in that behalf;
- 16.1.2 Submitting for dematerialisation any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court, tribunal, Central or State Government, SEBI or any statutory or revenue authority or under any covenant or undertaking given to any statutory authority.
- 16.1.3 commits or participates in any fraud or other act of moral turpitude in her/his/its dealings with the Participant;
- 16.1.4 otherwise misconducts himself in any manner.
- 16.2 The Participant may also terminate the Agreement without assigning any reasons for such termination provided the Participant shall have issued at least one month's prior notice in writing to the Beneficial Owner in that behalf.
- 16.3 In the event of the Participant terminating the Agreement, the participant shall call upon the Beneficial Owner to specify whether he desires to have the security balances in her/his/its account transferred to her/his/its account with another participant or to have the same rematerialised in the manner specified in the Bye Laws and the Participant shall accordingly close the account of the Beneficial Owner.
- 16.4 Where the Agreement is terminated by the Participant for any reason not attributable to the Beneficial Owner, the cost, charges and expenses involved in the transfer of the Beneficial Owner's account to any other participant or rematerialisation of securities in such account shall be borne by the Participant.
- 16.5 Upon termination of the Agreement, if the Beneficial Owner fails to specify the account with any other participant to which the existing balances are to be transferred or if the Participant fails to take necessary steps to have the securities in such account transferred to the Beneficial Owner's account with some other participant, CDS shall nominate any other participant to whom such account shall stand transferred for the time being, provided however that no transaction in respect of securities in such transferred account shall be effected until such time as the Beneficial Owner shall have entered into an agreement with the participant to whom such account shall have been so transferred by CDS.
- 16.6 Notwithstanding termination of the agreement by the Participant or closure of her/his/its account by the Beneficial Owner, the provisions of the Agreement and all mutual rights and obligations arising therefrom shall, except in so far as the same are contrary to or inconsistent with such termination or closure, shall continue to be binding on the parties in respect of all acts, deeds, matters and things done and transactions effected during the period when the Agreement was effective.
- 17. Indemnity**
- 17.1 The Participant shall indemnify and keep indemnified and save harmless the Beneficial Owner from and against all harm, loss, damage and injury and all claims, demands, suits, actions, litigation, prosecution and every other proceedings whatsoever (including all costs, charges and expenses relating thereto) suffered or incurred by the Beneficial Owner by reason of or an account of the Participant effecting any debit or credit of securities to the account of the Beneficial Owner without due confirmation from or contrary to or inconsistent with the instructions of the Beneficial Owner or otherwise failing to carry out the instructions of the Beneficial Owner or on account of any negligence on the part of the Participant, its employees, servants, or agents or otherwise committing any act, deed, matter or thing which is violative of the Act, Regulations, Bye Laws and Operating Instructions.
- 17.2 The Beneficial Owner shall indemnify and keep indemnified and saved harmless the Participant, her/his/its employees and servants from and against all harm, loss, damage and injury and all claims, demands, suits, action, litigation, prosecution or every other proceeding whatsoever (and all costs, charges and expenses relating thereto) suffered or incurred by the Participant on account of or as a reason of any certificates or other documents in respect of the securities submitted to the Participant being forged, fabricated, counterfeit, stolen, cancelled or being otherwise invalid or such securities being subject to any decree, order or injunction of any court, tribunal, Central or State Government or any other authority empowered in that behalf restraining or prohibiting transfer of those securities or the transfer of such securities being in breach of any undertaking or covenant in favour of any statutory body or authority.
- 18. Stamp duty**
- 18.1 Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial owner.
- 19. Force Majeure etc.**
- 19.1 Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but limited to tide, storm, cyclone, flood, lightning earthquake, fire, blast, explosion or any other act of God, war rebellion, revolution insurrection, embargo or sanction, blockade, riot civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, hacking, unanticipated technological or natural interference or instruction, loss or damage to satellites, loss of satellite linkage or any other date communications linkage, loss of connectivity or any other irresistible force or compulsion.

20. Service of Notice

- 20.1 Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out here above against a written acknowledgment of receipt thereof or by sending the same and pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to the notified.
- 20.2 Notice given by personal delivery shall be deemed to be given at the time of delivery.
- 20.3 Notice sent by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting.
- 20.4 Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

21. Severability

- 21.1 If any provision of the Agreement shall be held or adjudged by any competent court, tribunal or regulatory authority to be unlawful, void or unenforceable or if any provision is rendered void or unenforceable by reason of any statutory amendment, notification or any judicial decision, such provision shall to the extent required be severed from the Agreement and rendered in effective as far as possible without modifying the remaining provisions of the Agreement but shall not in any way effect the validity or enforcement of the rest of the provisions of the Agreement which shall continue to apply with full force and effect.

22. Amendments/Modifications at SEBI's instance to be binding

- 22.1 The parties hereto shall be bound by any additions, alterations, modifications, amendments or deletions to the Agreement or to any provisions thereof as may be required or directed by SEBI and shall execute all such deeds, documents, or writing as may be required for giving effect thereto.

23. No Waiver

- 23.1 Neither party hereto shall be deemed to have waived, abandoned or relinquished any right, power, privilege or remedy available to it under the Agreement or in law except by a writing executed in that behalf and no failure or delay on the part of any of the parties hereto in exercise of such right, power privilege or remedy shall operate as waiver thereof or as a waiver of any proceeding or succeeding breach by the other party to the Agreement nor shall any single or any partial exercise of any right, power, privilege or remedy preclude any other or further exercise of such or any other right, power, privilege or remedy available under the Agreement or otherwise available in law or in equity it being agreed that all such rights, powers, privileges and remedies are several and cumulative of each other.

24. Governing Language

- 24.1 All deeds, documents and writings that may be executed and all correspondence that may be exchanged between the parties hereto in relation to the subject matter of the Agreement shall be in English language, which shall be the governing language between the parties hereto.

25. Governing Law

- 25.1 The Agreement shall be governed by the construed in accordance with the laws in force in India.

26. Headings

- 26.1 The headings in the Agreement are for convenience and reference only and shall in no way affect the construction or interpretation of the Agreement.

27. Interpretation

- 27.1 Unless the context otherwise requires, words, denoting the singular shall include the plural and vice versa and words, denoting the masculine gender shall include the feminine and vice versa and any reference to any statute enactment or legislation or any provision thereof shall include any amendment thereto or any re-enactment thereof.

Schedule II : Forming Part of the Agreement Between a participant and a person seeking to open a Beneficiary Owner's Account.

Summary of Account as on _____ for the period from _____ to _____

Current Balance _____

Opening Balance _____

Add _____

Transaction Type and Description _____

Less _____

Transaction Type and Description _____

Closing Balance _____

Pending Transaction _____

Transaction Type of Description _____

Summary Statement of Account as _____

ISIN Code	ISIN Description	Free Balance	Locked Balance	Frozen Balance	Pledge Balance

To
GARG SECURITIES PVT. LTD.
 1, Gulati Complex (In Front of Milkhiram Market)
 Sakchi, P.O. Jamshedpur - 831 001, Jharkhand

NOMINATION FORM

Dear Sir / Madam,

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that :

- I/We **do not wish to nominate any one for this demat account.**
 [Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details :

DP ID	1	2	0	6	1	6	0	0	Client ID								
Name of the Sole/First Holder																	
Name of Second Holder																	
Name of Third Holder																	

Nominee Details :

First Name											Photograph of Nominee		
Middle Name													
Last Name													
Father's Name													
Address												Sign across the Photo	
City						PIN							
Country						State							
Telephone No.						Fax No.							
E-mail ID												Relationship with BO (If any)	
Date of Birth (if nominee is minor)		D	D	M	M	Y	Y	Y	Y				

As the nominee is a minor as on date, I/We appoint following person to act as **Guardian :**

First Name											
Middle Name											
Last Name						Age					
Address											
City						State					
Country						PIN					

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder/all joint holders. This nomination is in accordance with the **section 109A of the Companies Act, 1956**, and shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.

Place Date Nominee's Signature

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature			

Note : Two witness shall attest signature(s) / Thumb impression(s)

Details of the Witness :

	First Witness	Second Witness
Name of Witness		
Address of Witness		
Signature of Witness		

For **GARG SECURITIES PVT. LTD.**

(To be filled by DP)

Nomination Form accepted and registered vide Registration No.

Dated

(Authorised Signatory)

AUTHORITY LETTER IN CASE OF A HUF

To
GARG SECURITIES PVT. LTD.
1, Gulati Complex
(In Front of Milkhiram Market)
Sakchi, Jamshedpur - 831 001

Dear Sir,

We, the present adult co-parceners of _____, a Hindu Undivided family having its office at _____ (hereinafter referred to as "the HUF") have or desire to have Beneficiary A/C with GARG SECURITIES PVT LTD. We, the undersigned, hereby declare :

- a) that we are the present adult co-parceners of the HUF,
- b) that we are entitled to deal in securities held by the HUF,
- c) that we have full and unrestricted authority to act on behalf or bind the Trust and all the present as well as future numbers, both adult and minor, howsoever constituted from time to time.

We hereby authorize _____ (name of the Karta) to open a Beneficiary account on behalf of the HUF with the Depository Participant GARG SECURITIES PVT.LTD. for dematerialisation / rematerialisation of securities held in the name of the HUF. He is further authorized to sign, execute and submit such application, undertaking and other requisite document, writings and deeds as may be deemed necessary to expedient to open account and give effect to this purpose. The said Depository Participant is hereby authorized to honour all instructions oral or written, given on behalf of HUF by him. However, any member of the HUF can issue cheques in favour of GARG SECURITIES PVT LTD. even though their signatures may not be available on the records of GARG SECURITIES PVT LTD., solely/exclusively for the account of HUF maintained with them.

Name and signature of the karta and all the major Co-parceners of the HUF :

Name	Date of Birth	Relationship	Signatures
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____
6. _____	_____	_____	_____



GARG SECURITIES PVT. LTD.

Regd. Office : 1, Gulati Complex (In Front of Milkhiram Market)
Sakchi, P.O. Jamshedpur - 831 001, Jharkhand

DP ID : 12061600 ❖ SEBI REGN. NO. : IN-DP-CDSL-519-2009

SCHEDULE OF CHARGES

TYPE	RATES	
	GENERAL	SPECIAL
Security Deposit	NIL	₹ 11000/- (Refundable)
Account Opening	NIL	NIL
Custodian Charges	NIL	NIL
Buy	NIL	NIL
Sell	0.03% of value of transaction subject to minimum ₹ 15	0.02% of value of transaction subject to minimum ₹ 8
All kind of Pledge/Hypothecation request	0.03% of value of Securities subject to minimum ₹ 40	0.02% of value of transaction subject to minimum ₹ 40
All kind of lending/borrowing request	0.03% of value of securities subject to minimum ₹ 40	0.02% of value of transaction subject to minimum ₹ 40
Dematerialisation	₹ 2 per certificate plus ₹ 50 courier charges	₹ 2 per certificate plus ₹ 50 courier charges
Rematerialisation	₹ 15 per 100 securities or part thereof, subject to minimum of ₹ 50	₹ 15 per 100 securities or part thereof, subject to minimum of ₹ 50
Annual Maintenance charges	₹ 250 per annum	NIL
Easi Facility*	₹ 100 per annum	NIL
Documentation & Stationery Charges	₹ 50	NIL

NOTES :

1. Only 1st year's annual account maintenance charges are collected at the time of account opening. All other charges are billed on a monthly basis if you have any transactions during that month.
2. In case of delays in the payment of charges, the demat account can be frozen for all operations till such time all dues are cleared. Please refer to clause 2 mentioned in the agreement overleaf.
3. **“All market instructions for transfer must be received latest by 4.00 p.m. on the previous working day prior to the pay in day as per SEBI guidelines. All Off Market instructions for transfer must be received at least 24 hours before the execution date. Late instructions would be accepted at the Account Holder's sole risk and responsibility”.**
4. Charges are subject to revision at the company's sole discretion and as per revision in CDSL charges.
5. Any service not quoted above will be charged separately.
6. Value of transactions will be in accordance with rates provided by the depository.
7. Service tax and other statutory charges, if applicable will also be levied.
8. Charges may also be collected upfront, by the point of acceptance of Delivery Instructions.
9. Charges for POA stamping will be charged extra.

I/we have read and understood the “Schedule of Charges” prescribed above and agree to abide by the same.

Signature of Account Holders :

Sole/First Holder

2nd Holder

3rd Holder

AUTHORITY LETTER

To,
GARG SECURITIES PVT. LTD. (GSPL)
1, Gulati Complex
(In Front of Milkhiram Market)
Sakchi
P.O. Jamshedpur - 831 001

**Sub : Authority to debit the Demat Account Charges/Client Registration Charges
Ref : Member Client Agreement executed between us**

Beneficiary Client ID	
Trading Account Code	

Dear Sir,

This is to inform GARG SECURITIES PVT. LTD. that,

1. I/We have a beneficiary account with GARG SECURITIES PVT. LTD. (DP) with client ID as mentioned above.
2. Further I/we have a trading account with GSPL (trading code mentioned above) for investment and trading purpose.
3. I/We find it extremely inconvenient to give cheques against your depository bills and client registration charges.

With respect to the above mentioned subject and consideration, I/we hereby authorize you to debit the trading account maintained with GSPL for the debit charges payable to GSPL, as Depository Participant for providing depository services. Any such debited to my/our account shall be binding on me/us.

Thanking You

Yours faithfully,

Signature of the Client : _____
First Holder
Second Holder
Third Holder

Name of the client : _____

Address : _____

(To be filled by the Depository Participant)

Acknowledgement Receipt

Application No. :

Date :

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

Depository Participant Seal and Signature



GARG SECURITIES PVT. LTD.

Regd. Office : 1, Gulati Complex (In Front of Milkhiram Market)
Sakchi, P.O. Jamshedpur - 831 001, Jharkhand
Phone : (0657) 242-3987/7850 ❖ E.mail : garg.broker@yahoo.com



CDSL
Your Depository

DP ID : 12061600

Serial No. : PSPL/

SEBI Regn. No. : IN-DP-CDSL-519-2009

GARG SECURITIES PVT. LTD. DP ID : 12061600		Name / Details of Branch / Service Centres _____	
Details of the DP Staff, who has carried out "in-person" verification			
Name :		Signature :	
Employee Code :			
Date and place where "in-person" verification was carried out		Date :	Place :
Signature of the applicant(s) (signed in the presence of the DP staff)			
1st holder's signature	2nd holder's signature	3rd holder's signature	

Acknowledgement Receipt

Received Nomination Form from :

DP ID	1	2	0	6	1	6	0	0	Client ID											
Name																				
Address																				
Nomination in favour of																				
No Nomination		<input type="checkbox"/> Does not wish to nominate																		
Registration No.												Registered on	D	D	M	M	Y	Y	Y	Y

Depository Participant Seal and Signature



GARG SECURITIES PVT. LTD.

Depository Participants : Central Depository Services (India) Ltd.

DP ID : 12061600 ❖ SEBI Regn. No. IN-DP-CDSL-519-2009

Regd. Office : 1, Gulati Complex (In Front of Milkhiram Market)

Sakchi, P.O. Jamshedpur - 831 001, Jharkhand

Phone : 242-3987/7850 ❖ Fax : (0657) 242-8342

Investors' Complaints Redressal E.mail : garg.broker@yahoo.com